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## SECOND-YEAR OF BACHELOR OF VOCATIONAL MAJOR BANKING AND FINANCE REVISED SYLLABUS ACCORDING TO CBCS NEP2020

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COURSE TITLE: INTRODUCTION O FINANCIAL INSTITUTIONS AND  
MARKET  
SEMESTER-IV, W.E.F. 2024-2025

**RECOMMENDED BY THE BOARD OF STUDIES IN COMMERCE  
AND**

**APPROVED BY THE ACADEMIC COUNCIL**

DevrukhShikshanPrasarakMandal's

Nya. TatyasahebAthalye Arts, Ved. S. R. Sapre Commerce, and  
Vid. DadasahebPitre Science College (Autonomous), Devrukh.  
Tal.Sangmeshwar, Dist. Ratnagiri-415804, Maharashtra, India

Academic Council Item No: \_\_\_\_\_

Name of the Implementing Institute	:	Nya. TatyasahebAthalye Arts, Ved. S. R. Sapre Commerce, and Vid. DadasahebPitre Science College (Autonomous), Devrukh. Tal.Sangmeshwar, Dist. Ratnagiri-415804,
Name of the Parent University	:	University of Mumbai
Name of the Programme	:	Bachelor of Commerce
Name of the Department	:	Commerce
Name of the Class	:	Second Year
Semester	:	Fourth
No. of Credits	:	04
Title of the Course	:	Introduction to Financial Institution and Market
Course Code	:	C202BKT
Name of the Vertical in adherence to NEP 2020	:	Major and Minor
Eligibility for Admission	:	Any First Year passed seeking Admission to Degree Programme in adherence to Rules and Regulations of the University of Mumbai and Government of Maharashtra
Passing Marks	:	40%
Mode of Assessment	:	Formative and Summative
Level	:	UG
Pattern of Marks Distribution for TE and CIA	:	
Status	:	NEP-CBCS
To be implemented from Academic Year	:	2024-2025
Ordinances/Regulations(if any)	:	

*Nya. TatyasahebAthalye Arts, Ved. S. R. Sapre Commerce and Vid. DadasahebPitre Science College, Devrukh (An Autonomous College Affiliated with University of Mumbai)*

## Syllabus for Second Year of Bachelor in Commerce

(With effect from the academic year 2024-2025)

**SEMESTER-IV**

**Paper No.**

**Course Title: Introduction to Financial Institutions and Market**

**No. of Credits - 04**

**Type of Vertical: Major and Minor**

**COURSE CODE: C202BKT**

### Learning Outcomes Based on BLOOM's Taxonomy:

After completing the course, the learner will be able to...

Course Learning Outcome No.	Blooms Taxonomy	Course Learning Outcome
CLO-01	Remember	The concept of various financial institutions
CLO-02	Understand	To understand the structure of financial markets
CLO-03	Apply	To apply the knowledge of capital market
CLO-04	Analyze	To analyse functioning of credit rating companies

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(With effect from the academic year 2024-2025)

**SEMESTER-IV**

**Paper No.**

**Course Title: Introduction to Financial Institutions and Market**

**No. of Credits - 04**

**Type of Vertical: Major and Minor**

**COURSE CODE: C202BKT**

<b>COURSE CONTENT</b>			
<b>Module No.</b>	<b>Content</b>	<b>Credits</b>	<b>No. of Lectures</b>
1	<p><b>Banking and Financial Institutions in India</b></p> <p>Banking and financial institutions in India: Commercial Banks, Cooperative Banks, Regional Rural Banks, Agriculture and Rural Development Banks (SLDBs), Development Banks and NBFCs; their constitution, Functions, Working and Evolution. Reserve Bank of India: Traditional and Developmental Functions and Working. Objectives, Instruments of Monetary Policy</p>	01	15
2	<p><b>Financial Markets</b></p> <p>Financial markets:: Structure, institution and operating mechanism and its role in Economic Development. Developed and Underdeveloped Markets, Money Market in India: Importance, features instruments. Measures to strengthen money market in India. Recommendations of the working group on money market, Report of the task force on money market and mutual funds.</p>	01	15
3	<p><b>Capital Market in India</b></p> <p>Capital Markets in India: New issue market and stock exchange, importance of stock exchanges: National stock exchange, methods of floating new issues, types of shares and debentures, The Securities and Exchange Board of India (SEBI), need for establishment of SEBI. Objectives and role of SEBI, Capital Market reforms,</p>	01	15

4	<b>Credit Rating in India</b>	01	15
	Credit Rating in India: Why and what is credit rating, credit rating institutions in India, limitation to rating, depository services, factoring, leasing and hire purchase, venture capital, securitisation of assets, portfolio management, risk and return, reforms in banking and finance, reports of the committees; Chakravarty committee, Narsimham committee I & II		
<b>Total</b>		<b>04</b>	<b>60</b>

### Required Previous Knowledge

Basic introductory knowledge about Retail banking field and its functioning.

### Access to the Course

The students who have successfully completed First Year of B.Com.

### Forms of Assessment

The assessment of the course will be of Diagnostic, Formative and Summative type. At the beginning of the course diagnostic assessment will be carried out. The formative assessment will be used for the Continuous Internal Evaluation whereas the summative assessment will be conducted at the end of the term. The weightage for formative and summative assessment will be 60:40. The detailed pattern is as given below.

#### Term End Evaluation (60%)

#### Question Paper Pattern

100 Marks (Will be converted to 60 Marks)

Time: 3 hours

Question No.	Sub-Question	Module	Question Pattern	Marks
Q.1		All Module	Multiple Choice Questions (Attempt any 10 out of 15)	20
Q.2	a, b,& c	I	Solve any two questions from given three questions	20
Q. 3	a, b,& c	II	Solve any two questions from given three questions	20
Q. 4	a, b,& c	III	Solve any two questions from given three questions	20
Q. 5	a, b,& c	IV	Solve any two questions from given three questions	20
<b>Total</b>				<b>100</b>

#### Internal evaluation (40%)

Sr. No.	Description	Marks
1	30 Marks Unit Test Converted to 15 Marks	15
2	Assignments (Minimum four)	10
3	Attendance/Active Participation in teaching learning Process	05

4	Subject related activities as assigned by the teacher – PPT/Viva/Project/Survey etc.	10
	<b>Total</b>	<b>40</b>